

08-30-01

A

REV. 04/01

For Other Than A Small Entity

Attorney Docket No. CF/031

Applicants : Howard W. Lutnick and
Glenn D. Kirwin

For : SYSTEMS AND METHODS FOR PROVIDING
INTERACTIVE ASSISTANCE ON PURCHASE
DECISION-MAKING

08/28/01
J1042 U.S. PTO
09/941901
08/28/01
J1000 U.S. PTO

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EI125458585US.

Date of Deposit August 28, 2001.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Washington, D.C. 20231.

Lillian Garcia
Lillian Garcia

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR UNEXECUTED
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the
[X] specification; [X] claims; [X] abstract; [X] unexecuted
declaration and power of attorney, for the above-identified
patent application.

Also transmitted herewith are:

[X] 5 sheets of:

[X] Formal drawings.

Informal drawings. Formal drawings will be filed during the pendency of this application.

Certified copy(ies) of application(s)

(country) (appln. no.) (filed)

(country) (appln. no.) (filed)

(country) (appln. no.) (filed)

from which priority is claimed.

An assignment of the invention to _____.

A check in the amount of \$40.00 to cover the recording fee.

Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

An associate power of attorney.

The filing fee has been calculated as shown below:

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | FEE |
|-------------------------------|-----------------|-----------------|---------|-----------------|
| BASIC FEE | | | | \$710.00 |
| TOTAL CLAIMS | 20 - 20 = 0 | | X \$18 | = \$ 0.00 |
| INDEPENDENT CLAIMS | 3 - 3 = 0 | | X \$80 | = \$ 0.00 |
| [] MULTIPLE DEPENDENT CLAIMS | | | + \$270 | = \$ 0.00 |
| | | | TOTAL | <u>\$710.00</u> |

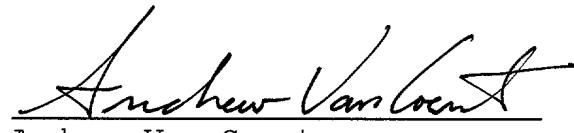
A check in the amount of \$710.00 in payment of the filing fee is transmitted herewith.

This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).

The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Amend the specification by inserting before the first line the sentence: -- This is a [] continuation-in-part, of application No.: _____, filed _____ entitled _____.

Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.



Andrew Van Court
Andrew Van Court
Registration No. P-48,506
Agent for Applicants
FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

J1042 U.S. PTO
08/28/01

CF/031

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicants : Howard W. Lutnick and Glenn D. Kirwin
Filed : Herewith
For : SYSTEMS AND METHODS FOR PROVIDING
INTERACTIVE ASSISTANCE ON PURCHASE
DECISION-MAKING

BOX Patent Application
Commissioner for Patents
Washington, D.C. 20231

NONPUBLICATION REQUEST
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicants hereby request that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,


Andrew Van Court
Registration No. P-48,506
Agent for Applicants
FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000